



Automate Regulatory Compliance Screening in Banking with **Ospyn AI**

In today's complex regulatory environment, banks struggle with manual monitoring of Central Bank Master Directions, fragmented compliance communication, and delayed policy alignment. These operational gaps create significant compliance risks, SLA violations, and potential regulatory penalties.

Ospyn's Regulatory Compliance Screening Solution leverages advanced Gen AI technology to eliminate manual compliance bottlenecks, automatically detect regulatory changes, and ensure real-time policy alignment across your entire organization.

Critical Compliance Challenges

- ◆ **Manual Regulatory Monitoring** often results in late detection of RBI Master Direction and Amendments.
- ◆ **Outdated SOPs** means new compliance rules are not incorporated leading to non-compliance at branch level and customer level.
- ◆ **Organizational Risk Exposure** may result because of delayed action on high-risk customers under new directives or unintentional.
- ◆ **Loss of regulator trust and reputational damage** because of unintentional non-compliance with updated regulatory norms.
- ◆ **Inconsistent execution of compliance rules** may affect the credibility and reputation of the bank.
- ◆ **Reactive compliance stance** instead of proactive risk management



Ospyn's AI-Powered Solution Architecture



Intelligent Policy Mapping

GenAI automatically maps regulatory changes to relevant SOPs, flags affected policies, and broadcasts alignment insights across all user departments.



Real-Time Regulatory Intelligence

GenAI continuously tracks and detects amendments from RBI websites, providing instant notifications of regulatory changes with automated impact assessment.



Proactive Customer Impact Engine

Advanced algorithms map RBI directives to customer data, identify affected profiles, and flag potential exposures before they become compliance issues.



Automated Compliance Integration

Seamless CBS integration instantly applies new screening rules, automates regulatory checkpoints in KYC flows, and flags compliance exceptions in real-time.



Real-Time Action

Immediate alerts and GenAI summarization drive instant policy alignment, eliminating delays in compliance response and regulatory implementation.



The benefits of Ospyn's intelligent regulatory compliance screening not just ensure 100% compliance to regulatory requirements but also optimizes the overall efficiency of the banking operations building trust for the bank among various stakeholders.

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